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PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:) Group Art Unit:
)
ROUSH et al.) Examiner:
)
Serial No.:) INFORMATION DISCLOSURE STATEMENT
)
Filed:)
)
Atty. File No.: 4366-135)
)
For: "USING VOICE OVER IP OR)
INSTANT MESSAGING TO)
CONNECT TO CUSTOMER)
PRODUCTS")

"EXPRESS MAIL" MAILING LABEL NUMBER: EV331284756US
DATE OF DEPOSIT: September 29, 2003

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VIRGINIA 22313-1450.

TYPED OR PRINTED NAME: AIMEE M. THUERK

SIGNATURE: Aimee M. Thuerk

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

The references cited on attached Form PTO-1449 are being called to the attention of the Examiner. Copies of the cited references:

☒ Are enclosed herewith.

☐ Are not enclosed, in accordance with 37 C.F.R. 1.98(d), because the references were submitted to the U.S. Patent and Trademark Office in prior application Serial No. filed , which is relied upon for an earlier filing date under 35 U.S.C. § 120.

☐ To the best of applicants' belief, the pertinence of the foreign-language references is believed to be summarized in the attached English abstracts and in the figures, although applicants do not necessarily vouch for the accuracy of the translation.

☐ Examiner's attention is drawn to the following co-pending applications, copies of which have been or are being submitted:

Serial No. _____ filed _____

Serial No. _____ filed _____

☐ Other: _____

Submission of the above information is not intended as an admission that any item is citable under the statutes or rules to support a rejection, that any item disclosed represents

analogous art, or that those skilled in the art would refer to or recognize the pertinence of any reference without the benefit of hindsight, nor should an inference be drawn as to the pertinence of the references based on the order in which they are presented. Submission of this statement should not be taken as an indication that a search has been conducted, or that no better art exists.

It is respectfully requested that the cited information be expressly considered during the prosecution of this application and the references made of record therein.

FEES

☒	**37 CFR 1.97(b)**: No fee is believed due in connection with this submission, because the information disclosure statement submitted herewith is satisfies one of the following conditions ("X" indicates satisfaction): ☒ Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d), or ☐ Within three months of the date of entry into the national stage of an international application as set forth in 37 CFR 1.491 or ☐ Before the mailing date of a first Office Action on the merits, or ☐ Before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114. Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.
☐	**37 CFR 1.97(c)**: The information disclosure statement transmitted herewith is being filed after all the above conditions (37 CFR 1.97(b)), but before the mailing date of one of the following conditions: (1) a final action under 37 C.F.R. 1.113 or (2) a notice of allowance under 37 C.F.R. 1.311, or (3) an action that otherwise closes prosecution in the application. This Information Disclosure Statement is accompanied by: ☐ A Certification (below) as specified by 37 C.F.R. 1.97(e). Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970. OR ☐ A check in the amount of $180.00 for the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement. Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970. Election to pay the fee should not be taken as an indication that applicant(s) cannot execute a certification.
☐	**37 CFR 1.97(d)**: This Information Disclosure Statement is being submitted after the period specified in 37 CFR 1.97(c). ☐ This information Disclosure Statement includes a Certification (below) as specified by 37 C.F.R. 1.97(e) AND ☐ Applicants hereby requests consideration of the reference(s) disclosed herein. Enclosed is the fee in the amount of $180.00 under 37 C.F.R. 1.17(p). Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970.

Certification (37 C.F.R. 1.97(e))
(Applicable only if checked)

☐ The undersigned certifies that:

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(1).

☐ A copy of the communication from the foreign patent office is enclosed.

OR

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(2).

Respectfully submitted,

SHERIDAN ROSS P.C.

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 4366-135	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	APPLICANT ROUSH et al.	
	FILING DATE	GROUP ART

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
	AA	6,449,344	9/10/02	Goldfinger et al.	379	88.17	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AB	Network World, Inc., "Taking the voice over IP plunge," 2003 (12 pages)
	AC	Sjoberg, J., et al., "Real-Time Transport Protocol (RTP) Payload Format and File Storage Format for the Adaptive Multi-Rate (AMR) and Adaptive Multi-Rate Wideband (AMR-WB) Audio Codecs," The Network Society, 2002, available at http://rfc.sunsite.dk/rfc/3267.html, dated June 2002, downloaded May 23, 2003 (50 pages)
	AD	Schulzrinne, H., et al., "RTP: A Transport Protocol for Real-Time Applications," available at http://www.iptel.org/info/players/ietf/media/rfc1889.txt, dated January, 1996, downloaded May 23, 2003 (66 pages)
	AE	Vamosi, Robert, "The next hacker target: instant messaging," ZDNET Reviews, May 30, 2003, available at http://zdnet.com.com/2100-1107-928415.html, downloaded May 23, 2003 (3 pages)
	AF	Smith, Spencer, "Be Aware of Security Issues with ICQ Instant Messaging," Computing News, Spring 2000, available at http://cc.uoregon.edu/cnews/spring2000/icq.html, downloaded May 23, 2003 (5 pages)
	AG	Internet Security Systems, Inc., "Risk Exposure Through Instant Messaging and Peer-To-Peer (P2P) Networks," April, 2002 (27 pages)

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	AH	Huuhtanen, J., "Real-Time Streaming Protocol (RTSP)," available at http://www.tcm.hut.fi/Studies/Tik-110.300/1998/Essays/rtsp.html, dated November 22, 1998, download May 23, 2003 (7 pages)
	AI	Jabber, Inc., "The Instant Messaging Standards Race: Comparing XMPP/Jabber and SIP/SIMPLE," Version 1.0, May, 2003 (11 pages)
	AJ	Millard, Peter, "A protocol which allows two jabber endpoints to negotiate feature options between themselves," Jabber Enhancement Proposal No. 0020, Jabber Software Foundation, available at http://www.jabber.org/jeps/jep-0020.html, downloaded May 22, 2003 (15 pages)
	AK	Millard, Peter, "A protocol for managing server-side blacklist and whitelist rules," Jabber Enhancement Proposal No. 0016, Jabber Software Foundation, available at http://www.jabber.org/jeps/jep-0016.html, downloaded May 22, 2003 (7 pages)
	AL	Miller, Matthew, "A protocol for reporting and executing ad-hoc, human-oriented commands in Jabber," Jabber Enhancement Proposal No. 0050, Jabber Software Foundation, available at http://www.jabber.org/jeps/jep-0050.html, downloaded May 22, 2003 (16 pages)
	AM	Saint-Andre, Peter, "A robust protocol for text-based conferencing in Jabber," Jabber Enhancement Proposal No. 0045, Jabber Software Foundation, available at http://www.jabber.org/jeps/jep-0045.html, downloaded May 22, 2003 (82 pages)
	AN	Miller, Jeremie, et al., "Jabber protocol elements can be given an expiry date/time, a "use-by" timestamp, by attaching to it an <x/> extension qualified by the jabber:x:expire namespace," Jabber Enhancement Proposal No. 0023, Jabber Software Foundation, available at http://www.jabber.org/jeps/jep-0023.html, downloaded May 22, 2003 (4 pages)
	AO	Hildebrand, Joe, et al., "Allow access to a Jabber server from behind firewalls which do not allow outgoing sockets on port 5222, via HTTP requests," Jabber Enhancement Proposal No. 0025, available at http://www.jabber.org/jeps/jep-0025.html, downloaded May 22, 2003 (7 pages)
	AP	Jabber Software Foundation, "Jabber::Protocol - Delayed Delivery," available at http://www.jabber.org/protocol/delay.html, downloaded May 22, 2003 (3 pages)
	AQ	Jabber Software Foundation, "Jabber:: Protocol - How File Transfer Works," available at http://www.jabber.org/protocol/filetransfer.html, downloaded May 22, 2003 (3 pages)
	AR	Jabber Software Foundation, "Jabber::Protocol - Presence Subscriptions," available at http://www.jabber.org/protocol/subscriptions.html, downloaded May 22, 2003 (15 pages)
	AS	Jabber Software Foundation, "Jabber:: Protocol - Authentication," available at http://www.jabber.org/protocol/authentication.html, downloaded May 22, 2003 (1 page)
	AT	Jabber Software Foundation, "Jabber:: Protocol - Core Data Elements," available at http://www.jabber.org/protocol/coredata.html, downloaded May 22, 2003 (7 pages)

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	AU	Jabber Software Foundation, "Jabber:: Protocol - XML Streams," available at http://www.jabber.org/protocol/xmlstreams.html, downloaded May 22, 2003 (6 pages)
	AV	Jabber Software Foundation, "Jabber::Protocol - Groupchat," available at http://www.jabber.org/protocol/groupchat.html, downloaded May 22, 2003 (4 pages)
	AW	Tyson, Jeff, "Introduction to How Instant Messaging Works," HowStuffWorks, Inc., available at wysiwyg://65/http://www.howstuffworks.com/instant-messaging.htm, downloaded May 22, 2003 (3 pages)
	AX	ViewZ, "Instant Messaging Guide - ICQ (I Seek You)," available at http://www.viewz.com/features/imguide2.shtml, downloaded May 23, 2003 (3 pages)
	AY	Ridgway, Neil, "The Real-time Transport Protocol (RTP)," September 7, 1998, available at http://www.mmrg.ecs.soton.ac.uk/publications/archive/ridgway1998/html/node23.html, downloaded May 23, 2003 (2 pages)
	AZ	Ridgway, Neil, "The Real Time Streaming Protocol (RTSP)," September 7, 1998, available at http://www.mmrg.ecs.soton.ac.uk/publications/archive/ridgway1998/html/node29.html, downloaded May 23, 2003 (2 pages)
	BA	Cherry, Steven, "IM Means Business," IEEE Spectrum, November, 2002, pp. 28-32

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